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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693607
Filing Date	2003-10-27
First Named Inventor	Brian E. LeGette, et al
Art Unit	3765
Examiner Name	Andrew W. Sutton
Attorney Docket Number	0100.0020C1

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5303426		1994-04-19	Jones	
	2	5625903		1997-05-06	Schultz et al	
	3	5953434		1999-09-14	Boyden	
	4	6580800		2003-06-17	Yamasaki et al	
	5	7072483	B2	2006-07-04	Lenhard-Backhaus	
	6	6920645		2005-07-26	LeGette et al	

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**U.S.PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2j</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2291138	CN		1998-09-16			<input type="checkbox"/>
	2	1353524	FR		1964-01-20	Silvain		<input type="checkbox"/>
	3	2538204	FR	A1	1982-12-20	Decrozant		<input type="checkbox"/>
	4	2516709	DE	A1	1976-10-28	Gehorschutzer		<input type="checkbox"/>
	5	29800973	DE	U1	1998-04-23	UVEX Sports GmbH & Co.		<input type="checkbox"/>
	6	29812652	DE	U1	1999-03-25	Clasing		<input type="checkbox"/>
	7	3231218	DE	A1	1984-02-23	Wanner et al		<input type="checkbox"/>
	8	4422767	DE	A1	1996-01-11	Optac GmbH		<input type="checkbox"/>

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	9	641720	JP		1994-06-03			<input checked="" type="checkbox"/>
	10	6029141	JP		1985-02-27			<input type="checkbox"/>
	11	6221016	JP		1987-02-07			<input type="checkbox"/>
	12	6320232	JP		1988-06-06			<input type="checkbox"/>
	13	5815618	JP		1983-01-31			<input type="checkbox"/>
	14	59129815	JP		1984-08-31			<input type="checkbox"/>
	15	4719024	JP		1972-11-02			<input type="checkbox"/>
	16	4875626	JP		1973-09-19			<input type="checkbox"/>
	17	53143627	JP		1978-11-13			<input type="checkbox"/>
	18	5711884	JP		1982-01-21			<input type="checkbox"/>
	19	58138484	JP		1983-09-17			<input type="checkbox"/>

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20	10079994	JP		1998-03-24	Googonzu Group, Inc.	<input type="checkbox"/>
21	20357405	KR		2004-03-19	Park, Chan-Hee	<input checked="" type="checkbox"/>
22	20357406	KR		2004-03-19	Park, Chan-Hee	<input checked="" type="checkbox"/>
23	294003	CH		1954-01-04	Trieb	<input type="checkbox"/>
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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	1999-2000 Catalog, "Accessory Goods", Nitty Company, Ltd., 4 pages	<input type="checkbox"/>
	2	Advertisement: The "PODZ" ear warming eye glass retainer, Shred Alert Products of Hood River, Oregon, 5 pages	<input type="checkbox"/>
	3	PTO Reference, "History of the United States Patent Office", The Patent Office Pony, A History of the Early Patent Office, KENNETH W. BOBYNS, 1994, 47 pages	<input type="checkbox"/>

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	4	2003 Catalog, "Join the Polar Fusion Revolution; Revolutionary Ear Warmers", Polar Fusion, LLC, 2 pages	<input type="checkbox"/>
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Thomas W. Lynch/	Date (YYYY-MM-DD)	2007-01-12
Name/Print	Thomas W. Lynch	Registration Number	42820

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